



Patrick W. Henning, Director
June 26, 2009
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Arnold Schwarzenegger
Governor

Mr. Blake Konczal, Director
Fresno County Workforce Investment Board
2000 Fresno Street, Suite 200 (second floor)
Fresno, CA 93721

Dear Mr. Konczal:

WORKFORCE INVESTMENT ACT
FISCAL AND PROCUREMENT REVIEW
FINAL MONITORING REPORT
PROGRAM YEAR 2008-09

This is to inform you of the results of our review for Program Year (PY) 2008-09 of the Fresno County Workforce Investment Board's (FCWIB) Workforce Investment Act (WIA) grant financial management and procurement systems. This review was conducted by Mr. David Jansson from June 1, 2009, through June 5, 2009. For the fiscal portion of the review, we focused on the following areas: fiscal policies and procedures, accounting system, reporting, program income, expenditures, internal control, allowable costs, cash management, cost allocation, indirect costs, cost/resource sharing, fiscal monitoring of subrecipients, single audit and audit resolution policies and procedures for its subrecipients, and written internal management procedures. For the procurement portion of the review, we examined procurement policies and procedures, methods of procurement, procurement competition and selection of service providers, cost and price analyses, contract terms and agreements, and property management.

Our review was conducted under the authority of Section 667.410(b)(1), (2) & (3) of Title 20 of the Code of Federal Regulations (20 CFR). The purpose of this review was to determine the level of compliance by FCWIB with applicable federal and state laws, regulations, policies, and directives related to the WIA grant regarding financial management and procurement for PY 2008-09.

We collected the information for this report through interviews with representatives of FCWIB, a review of applicable policies and procedures, and a review of documentation retained by FCWIB for a sample of expenditures and procurements for PY 2008-09.

BACKGROUND

The FCWIB was awarded WIA funds to administer a comprehensive workforce investment system by way of streamlining services through the One-Stop delivery system. For PY 2008-09, FCWIB was allocated: \$5,737,829 to serve 1,022 adult participants; \$6,134,494 to serve 1,362 youth participants; and \$4,653,470 to serve 700 dislocated worker participants.

For the quarter ending March 31, 2009, FCWIB reported the following expenditures and enrollments for its WIA programs: \$2,569,476 to serve 967 adult participants; \$2,934,183 to serve 1,353 youth participants; and \$2,415,605 to serve 715 dislocated worker participants.

FISCAL REVIEW RESULTS

We conclude that, overall, FCWIB is meeting applicable WIA requirements concerning financial management.

PROCUREMENT REVIEW RESULTS

We conclude that, overall, FCWIB is meeting applicable WIA requirements concerning procurement.

This report contains no findings or concerns; therefore, we are issuing this report as the final report.

Because the methodology for our monitoring review included sample testing, this report is not a comprehensive assessment of all of the areas included in our review. It is FCWIB's responsibility to ensure that its systems, programs, and related activities comply with the WIA grant program, Federal and State regulations, and applicable State directives. Consequently, any deficiencies identified in subsequent reviews, such as an audit, would remain FCWIB's responsibility.

Please extend our appreciation to your staff for their cooperation and assistance during our review. If you have any questions regarding this report or the review that was

Mr. Blake Konczal

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conducted, please contact Ms. Mechelle Hayes at (916) 654-7005 or Mr. David Jansson at (916) 654-7690.

Sincerely,

A handwritten signature in black ink, appearing to read "Jessie Mar". The signature is fluid and cursive, with the first name "Jessie" written in a larger, more prominent script than the last name "Mar".

JESSIE MAR, Chief
Compliance Monitoring Section
Compliance Review Office

cc: Greg Gibson, MIC 50
Jose Luis Marquez, MIC 50
Daniel Patterson, MIC 45
Roger Schmitt, MIC 50